POLICICI DDL DENTIAL ***

MANDATE CUM SIP REGISTRATION FORM

Application No.

[For investment through NACH]																
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PRUDENTIAL UMRN		FOR	OFFICE	LICE	ONII V	7				Date						
MUTUAL FUND		TOD OFFICE I	ICE ONLY	UPL	CIPIL I	卍			FC	D 0F	CICE		- 01	LV		ᅱ
TICK (V)							FOR OFFICE USE ONLY									
CREATE I/We hereby authorize	VVC hereby damonize Ididi i hodely had Addely and Addely and a living by the depit (lick y						ck 🗸)	SB/CA/CC/SB-NRE/SB-NRO/Other								
CANCEL Bank a/c number																
with Bank Name of customers bank IFSC Or MICR Or MICR																
an amount of Rupees		Maximum	Amount (Rupe	es in wor	ds)						₹					
FREQUENCY Methly Ottly H-Yrly Yrly As & when presented DEBIT TYPE Fixed Amount Maximum Amount										ount						
Folio No. Mobile No.																
Reference		ION NUMBER				Ema										
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. PERIOD ————————————————————————————————————																
From				0.												
To State of the st	Sign:	Name and in last		_	D.I.					ign:	N.I.		. ,			—
Or Until Cancelled Declaration: I/We hereby declare that the particular	1 s given on this mandate ar	Name as in barre correct and complete		2	Name as					3				k recor		ay be
Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participants in NACH/Si/any other mode as may be preferred by the AMC from time to time. I/We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration of OTM/PAN Based Mandate Facility and amended from time to time and of NACH (Debits). Authorization to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorized to cancel/amend this mandate by appropriately communicating/amendment to the User entity/corporate or the or the bank where I have authorized the debit. This is to inform that I/we have registered for this facility and that my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank and to debit my/our account for any charges towards mandate verification, registration, transactions, transactions, returns, etc, as applicable.											orpo- debit.					
PRIDENTIAL TO MUTUAL FUND		SIP F	REGISTRA	TION	FORM				Appli	cation	No.					
Investor must read Key Scheme BROKER CODE (ARN CODE)/		ctions before comp B-BROKER ARN C			SUB-BROI	KER (CODE		in BLA	CK/BLU	Er	mploye	e Uniq	пе		
#By mentioning RIA code, I/We authoriz					allotted by				(10101					EUIN)		_
The Trustee, ICICI Prudential Mutual Fund, I FOLIO NO. Sole/First Applicant's Name: Mr. /Ms. / M	/We have read and unc	derstood the content				of the	followin	<u> </u>			s and c	conditio	ns of t	he SIP E		
FIRST		MIDDLE									LAS					_
Scheme: ICICI PRUDENTIAL									-					(Option is facilities)		
OPTION: SUB-OPTI Please refer instructions and Key Scheme F	ON:		EQUENCY : r facilities availab		AEP FREQU I ch scheme d				- [Percent	age: 🗆	10%	□ 1	5%	20%	,
		7								other_		(m	ultiples	of 5% (only)	
Each SIP Amount: Rs												-				_
SIP Frequency: Monthly Quarte	erly* <i>(Default is Mont</i>	thly) *In case of Q	luarterly SIP, only	Yearly frequ	uency is ava	ailable	under S	SIP TOP						of Rs.50 y 🔲 '		
SIP Date: SIP Start Month / Year	M M Y	Y Y Y	SIP End Month / Yea		M	Υ	Y	′ Y	´ :	SIP TOF	UP CA	AP Am	ount:			
EXISTING OTM / FIRST INSTALLMENT E	BANK DETAILS:	1	_ 100011117 100		1				- 11	Rs Month-					c	OR
Cheque/DD No Ch	eque/DD Amount Rs		A	c No					_	M	M	Υ	Υ	Υ	Υ	
Bank Name:										Investor Amount o				option –	either	CAP
DEMAT ACCOUNT STATEMENT DETAILS	•		•													
NSDL: Depository Participant (DP) ID (NSDL on	ly) Beneficiary Ac	count Number (NSDL	only)	CDSL	L: Depository	y Partio	ipant (D)P) ID (C	DSL only)							\neg
YOUR CONFIRMATION/DECLAR exceeding Rs.50, 000 in a year as described other mode), payable to him for the different in crediting the scheme collection accounts Signature(s) as per ICICI Prudential	in the Instruction No.IN competing Schemes by the Service Provide	V(d) of the common of various Mutual Fors which may result	ı application form unds from amonç t in a delay in app	. The AŘN h ist which th	nolder has di ne Scheme i	isclos	eď to m	e/us all nmende	the com	mission	s (in the	e form o	of trail	commis	sion o	r any
ACKNOWLEDGEMENT SLIP (To be filled in by the investor) Folio No./ Application No.																
MUTUAL FUND Name of the investor:	Option:							anthly .	1000	, -	Ackr	nowled	dgeme	ent Sta	тр	
SIP TOP UP Amt. Rs.	TOP UP CAP:	Amt:Rs	ii Ailioulii ns	OR Mo	nth-Year:	M M	M	Y	y Y	′						

Mandatory fields in OTM form as per NPCI: • Bank account number and Bank name • IFSC and/or MICR Code • Mobile no & Email ID • PAN • Signatures as per bank records • SIP start date, end date or until cancelled • Account type to be selected • Name as per bank records • Transaction type to be selected • Maximum amount to be mentioned.

GENERAL INSTRUCTIONS

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of One Time Mandate (OTM) Facility: As an investor I/we hereby request you to register me/us for availing the facility of OTM and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

Generally speaking, your SIP amount will be lesser than this amount, but choosing a slightly higher limit helps you to undertake additional investments as per your choice. Always remember to mention an amount that is convenient to you.

INSTRUCTIONS FOR OTM MANDATE FACILITY

- 1) Investor can transact through OTM facility registered for the respective folio(s).
- 2) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTMs registered or reject the request.
- 3) For any transaction above Rs. 2 lacs and/or transactions in Liquid and Money Market schemes or plans, units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
- 4) Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
- 5) AMC reserves right to reject or process the application subject to internal verification.
- 6) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
- 7) Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his adhoc/periodical commitments for transactions under the relevant mandates. Investor agrees that his bank may charge service fees for any dishonor.

TERMS AND CONDITIONS

SIP Payment through NACH

- 1. The bank account provided for NACH should be participating in NACH clearing respectively.
- 2. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.. In case the Debit does not take effect for five consecutive times then the SIP would be liable for cancellation.
- 3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards, in case the period between the time-stamping of the SIP request and the first triggered SIP installment is less than 30 days. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days for Monthly and Quarterly SIPs from the date of submission of SIP application. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
- 5. Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss or if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to ICICI Prudential Mutual Fund/the AMC/ the Trustee.
- ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
- 7. In case of "At Par" Cheques, investors need to mention the MICR No. of his/her actual Bank branch.
- SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- 9. New Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- 10. Existing Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
- Incase SIP date is not mentioned/not legible, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
- If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
- 13. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
- 14. In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate.
- 15. Existing investors have to provide their folio numbers.
- 16. For minimum application amount to be invested in SIP, risk factors, features, load structurees, etc. please refer to the scheme related documents available on www.icicipruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.
- 17. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

18. SIP TOP UP Facility:

With this facility, investor can opt to increase the SIP amount at regular fixed intervals.

There are two type of SIP TOP-UP:

(1) Fixed TOP-UP. (2) Variable TOP-UP.

Fixed TOP-UP: With this option, investor can increase SIP amount at regular interval with fixed amount. Minimum TOP-UP amount has to be Rs.500 and in multiples of Rs.500 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

Please view below illustration for Fixed TOP-UP:

• SIP Tenure: 07Jan 2015 to 07 Dec 2020 • Monthly SIP Installment: Rs. 2000/-									
• TopUp Amount: Rs.500/- • TopUp Frequency: Yearly									
Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	SIP Top-Up Amount (₹)	SIP Amount with TOP-UP (₹)				
1 to 12	7-Jan-16	7-Dec-16	2000	N.A	2000				
13 to 24	7-Jan-17	7-Dec-17	2000	500	2500				
25 to 36	7-Jan-18	7-Dec-18	2500	500	3000				
37 to 48	7-Jan-19	7-Dec-19	3000	500	3500				
49 to 60	7-Jan-20	7-Dec-20	3500	500	4000				

Variable TOP-UP: With this option, investor can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOP-UP percentage (%) should be 10% and in multiple of 5% thereof. Also the TOP-UP amount will be rounded off to the nearest highest multiple of Rs.10.

Please view below illustration for Variable TOP-UP:

• SIP Tenure: 07Jan 2015 to 07 Dec 2020 • Monthly SIP Installment: Rs. 2000/-										
• TopUp per	• TopUp percentage: 10% • TopUp Frequency: Yearly									
Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	SIP Top-Up Amount (10%) (₹)	SIP Top-UP round off Amount (₹)	SIP Amount with TOP- UP (₹)				
1 to 12	7-Jan-16	7-Dec-16	2000	N.A	N.A	2000				
13 to 24	7-Jan-17	7-Dec-17	2000	200	200	2200				
25 to 36	7-Jan-18	7-Dec-18	2200	220	220	2420				
37 to 48	7-Jan-19	7-Dec-19	2420	242	240	2660				
49 to 60	7-Jan-20	7-Dec-20	2660	266	270	2930				

Investor can either opt for Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, than Variable top-up feature shall be triggered. In case the TOP UP facility is not opted by ticking the appropriate box and/or frequency is not selected, the TOP UP facility may not be registered.

In a scenario where investor selects multiple % option under variable SIP Top-up plan, higher percentage will be considered.

Other Information:

SIP TOP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.

The investor agrees to avail the TOP UP facility for SIP and authorize his/her bank to execute the NACH/SI for a further increase in installment from his/her designated account.

SIP TOP-UP CAP:

- (i) Cap Amount: Investor has an option to freeze the SIP Top-Up amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be same as the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount & the maximum amount mentioned in bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount
- (iii) Cap Month-Year: It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure. Investor shall have flexibility to choose either Top-Up Cap Amount OR Top-Up Cap Month-Year. In case of multiple selection, Top-Up Cap Amount will be considered as default selection. In case of non selection, the SIP variable Top-Up amount will be capped at a default amount of Rs.10 lakhs.

SIP amount will remain constant from Top-Up Cap date/amount till the end of SIP Tenure.

19. DEMAT/NON-DEMAT MODE:

Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly dividend options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

Reinvestment of dividend payout: In case Unitholder has opted for dividend payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for dividend payout, as per the provisions of the respective scheme (net of dividend distribution tax and other statutory levy, if any), else the dividend would be mandatorily reinvested. The dividend would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-dividend Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of dividend. It may also be noted that the criteria for compulsory reinvestment of dividend declared under the dividend payout option in specific schemes, where the dividend amount is less than the minimum dividend payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if dividend is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. Dividend declared will be compulsorily paid out under the "dividend payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.